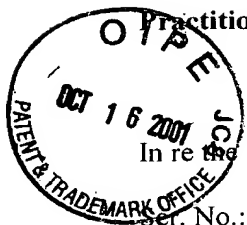


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11-02-01

Practitioner's Docket No.: 892_019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Akira WAKABAYASHI

Ser. No.: 09/908,945

Art Unit: 2165

Examiner: Not Assigned


Filed: July 19, 2001

For: ONLINE TRADING SYSTEM

Assistant Commissioner for Patents
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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to the Assistant Commissioner for Patents, Washington D.C. 20231 on October 16, 2001.


Tara L. PrestonINFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

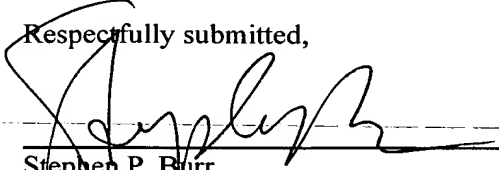
The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

October 16, 2001

Date


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